

Investment ISA Transfer Application

2024/2025 Tax Year

The ISA allowance for all investors is £20,000 for the 2024/2025 tax year.

Please ensure that this form is used with the correct Transfer Authority. You will need to complete a transfer authority form for each ISA you are transferring.

Please note, should you transfer from a Lifetime ISA to this Aegon Investment ISA (Stocks and Shares), you will lose any benefits that are specific to the Lifetime ISA.

Please complete this application form using black ink and BLOCK CAPITALS and return to: **Financial Discounts Direct, PO Box 85, Alton, Hampshire GU34 1XS**

1 Personal Details (Please complete this section in full)

Existing Aegon Client Reference if applicable	3						
Mr/Mrs/Ms/Miss/Other							
Surname							
Full first name(s)							
Email address							
Daytime tel							

Permanent residential address
Postcode

If at current address for less than two years, please supply previous address and time there on a separate piece of paper.

Male	Female	Date of Birth	D	D	/	M	M	/	Y	Y	Y	Y
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I confirm that I am solely UK resident for tax purposes and not a US citizen

National Insurance Number			/			/			/			
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If you do **NOT** have a National Insurance Number, please tick here

2 Nominated bank account

Complete this section to set up a new nominated bank account. We'll use the details below to pay any future income/withdrawals to.

Name of account holder

Branch Sort Code	Bank/Building Society Account Number
Building Society Roll Number	

3 Transfer Investment Choices

I wish to transfer the proceeds of any investments sold, outlined in Section 1 and/or 3 of the ISA Transfer Authority, into the following investments:

Please ensure that the funds you choose are available through Aegon for the ISA product. You can only choose commission-free share class funds. If you do not indicate the share class, we'll select the commission-free share class fund, where available to your intermediary.

Please note: if your intermediary has agreed exclusive share classes with a Fund Manager for your selected funds, we'll always invest in that exclusive share class and not the share class you've selected below.

Please note: we're unable to allocate specific cash amounts to funds including the ISA Cash Facility for the transfer investment choice.

Fund manager, fund name and share class	Type of unit/share (delete as appropriate)*	Transfer %
(Fund Group Name) World Equity A	N/A	%
	ACC/INC	
	ACC/INC	
	ACC/INC	
	ACC/INC	
	ACC/INC	
	ACC/INC	
ISA Cash Facility		
Total		100%

* **ACC/INC**
If you do not specify ACC or INC in this column, and do not complete Section 4, Aegon will invest into accumulation units/shares where available.

4 Income (only complete if you have selected the INC share class above - please tick one of the following options)

The option you choose will be applied to all **income units/shares** you hold within this product.

Retain in fund (default) - reinvest any income back into the fund

Consolidated monthly income - pay any income to my bank account on a monthly basis

ISA Cash Facility - pay any income into my ISA Cash Facility

If you already hold income units/shares within this product and you don't tick one of the boxes above, we'll apply your existing income option.

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Declaration and Authorisation

Declarations

All investment subscriptions made now and in the future belong to me.

I am aged 18 years or over.

Where I do not have an Aegon ISA capable of accepting the transfer payment:

I am applying to subscribe to a stocks and shares ISA for the 2024/2025 tax year and each subsequent year until further notice.

I am applying for an Aegon ISA, and where relevant an Aegon GIA, and services outlined in the application and agree to be bound by the Aegon Platform Terms and Conditions.

Where I do have an Aegon ISA capable of accepting the transfer payment, I apply to make this transfer payment to my existing Aegon ISA as detailed in section 1.

I have not subscribed/made payments, and will not subscribe/make payments more than the overall subscription/payment limit in total to a cash ISA, a stocks and shares ISA, an innovative finance ISA, and a Lifetime ISA in the same tax year.

I am resident in the United Kingdom for tax purposes, or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to or in a civil partnership with a person who performs such duties. I will inform Aegon if I cease to be so resident or to perform such duties, or be married to or in a civil partnership with a person who performs such duties.

I declare that the information contained in this application form is correct to the best of my knowledge and belief.

Authorisations

I authorise Financial Discounts Direct to make ISA investments on my behalf in accordance with my written instructions.

I authorise Aegon to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.

I authorise Aegon to make on my behalf any claims to relief from tax in respect of my ISA investments.

I authorise Aegon to collect both the Aegon Platform Charge and the FDD Service Charge from my nominated Aegon cash facility.

I instruct Aegon to pay Financial Discounts Direct the Service Charge specified in the Charges Schedule, from my relevant Aegon payment account.

If there is less money in my cash facility than the exact amount to cover charges, I authorise Aegon to sell enough of my platform assets to pay these charges in accordance with the 'Our Charges' section of the Terms and Conditions of the Aegon Platform and the FDD Charges Schedule.

I authorise Aegon and Financial Discounts Direct to undertake a search with a reference agency for the purposes of verifying my identity if necessary. To do so, the reference agency may check the details I supply against any particulars on any database (public or otherwise) to which they have access. They may also use my details in the future to assist other companies for verification purposes. A record of the search will be retained as an identity search.

If a Fund Sale Instruction applies to the segment linked to my investment, I accept and agree that funds will be sold to settle any outstanding charges which have accrued prior to this date and all charges payable hereafter.

If I have an active Sale for Regular Payment mandate, or the segment linked to my investment applies to a Fund Sale Instruction (as described in my Charges Schedule) and there is insufficient money within my relevant payment account, I authorise Aegon to sell enough of my platform assets to pay the Service Charge in accordance with the relevant Terms and Conditions of the Aegon Platform or Customer Agreement applicable to my investments/assets.

Confirmations

I have viewed the Key Features and Terms and Conditions of the Aegon Platform and by signing this application form I agree to be bound by them.

I have had the opportunity to read Aegon's Order Execution policy and agree to its terms.

I have viewed the relevant product Key Features and Fund specific information and/or Key Investor Information Documents (KIID) relating to my investment.

I understand that there are Charges (the Aegon Platform Charge and the FDD Service Charge) for the use of Aegon services.

I confirm that I have received my Charges Schedule and understand which charges are applicable to my investment.

I understand that the Terms and Conditions of the Aegon Platform are the standard terms upon which Aegon intends to rely, and it is important that I read and understand the terms before agreeing to be bound by them. If there is any term or point I do not understand or do not wish to be bound by, I understand that I can request further information before signing this application.

I agree to the Aegon ISA and, where relevant, the Aegon GIA terms and conditions.

I understand that my signed application form (once accepted by Aegon) together with the Terms and Conditions of the Aegon Platform, form my customer agreement with Aegon.

I understand that the commencement of my ISA may be delayed or rejected if this application form is not complete in all respects.

I understand and accept that I am entitled to cancel my application in accordance with the Key Features applicable to the product I am investing in and that if I choose to cancel my application, my investment shall be subject to market movement during the period from the date when my application is processed to the date my investment is sold.

I understand that Aegon will accept authority from the primary holder only, in most circumstances. For trusts and Powers of Attorney more than one signature may be required.

I confirm that I have not received financial advice from Financial Discounts Direct or Aegon in regards to this application.

I confirm that Financial Discounts Direct and Aegon have not and will not assess my suitability for the Aegon ISA and where relevant the Aegon GIA, or any investment decisions made. This means I will not benefit from the protection of the Financial Conduct's Authority's rules on assessing suitability in relation to FDD or Aegon.

I understand that any direct debit instructions in the application will continue into subsequent tax years until I tell Aegon to stop taking payments.

Number of attached Transfer Authority Forms

Signature 

Date

Please note that the details supplied in this form will be used for the purpose of processing your application. We will from time to time send you publications that we believe to be of interest to you, containing popular fund performance - you can opt to be removed from our mailing list at any time.

Aegon ISA transfer authority

In this form, Aegon means Cofunds Limited.
Use this form to transfer an ISA from another ISA account manager into an Aegon ISA.

Important
You must send this form along with a completed and signed Aegon ISA transfer application form.

If you transfer from a Lifetime ISA (LISA) to an Aegon ISA, the LISA status for the transferred money will be lost along with any other benefits specific to the Lifetime ISA. As the Aegon ISA isn't a LISA, there may be a government charge applied to the amount transferred.

If you're transferring from multiple investment/plan managers, you'll need to send a separate transfer authority for each transfer.

All investment details entered in this form must match those held by the existing investment/plan manager. Any differences could cause delays to the requested transfer.

Please complete this form by typing in the boxes, including the signature box(es) and emailing it to:
aegoncofundadministration@aegon.co.uk

Our email system and the way we deal with data internally is secure. However we're unable to ensure the security of emails before they reach us. Please consider this when sending us sensitive information.

1. Investments that you want to sell (stocks and shares ISA cash transfer)

Please list all investments held with the investment/plan manager named on this form that you want to sell into cash and transfer to Aegon or tick the sell all box below. Please also complete section 2 of the Aegon ISA transfer application form to tell us which investments, including sedol codes, you'd like to reinvest into.

Account reference with the existing investment/plan manager

Sell all my investments ☐

Full investment manager name and investment name	Sedol code (this is shown in your Key Investor Information Documents (KIID))

2. Tax year subscriptions to be transferred

Transferring previous tax-year ISA savings

You can transfer some or all of your existing ISA savings from previous tax years to the Aegon ISA without affecting your current ISA allowance. You can transfer as many ISAs as you want to Aegon.

Transferring current tax-year ISA savings

Where you're transferring current year's subscriptions you must transfer 100% of those subscriptions for any type of ISA.

Which tax years' subscriptions do you want to transfer? Current ☐ Previous ☐ All ☐

If you have ticked current or all, please state your current year subscriptions to date

3. Cash ISA transfer

All my cash ISA ☐ or this amount of my cash ISA

Please tell us the notice period (if any), for you to transfer your cash ISA days

Branch sort code - -

Account or plan number (this must be completed)

4. Authorisation

Aegon ISA number (if applicable)

I authorise the ISA account manager named on this authority to transfer my investments in cash when requested to Lochside Nominees Ltd with immediate effect or where I so instruct at the end of a notice period, and email the proceeds as specified below to my new Aegon ISA account manager at: Aegon Cofunds Administration, Sunderland SR43 4DN, and provide Aegon with any information reasonably required by them to allow them to accept this transfer.

You should sign and date this form by typing your full name in the signature box below and typing the date in the date box. Your typed name in the signature box will be your signature. When you sign the form, by typing your name in this box, you are making the declarations and confirming that you wish to proceed with the instructions in this form.

Your full name

Date

Signature (type name here)

Name of existing investment/plan manager

Existing investment/plan manager address

Postcode



Aegon is a brand name of both Scottish Equitable plc (No. SC144517) registered in Scotland, registered office: Edinburgh Park, Edinburgh EH12 9SE, and Cofunds Limited, Registered in England and Wales No.03965289, registered office: Level 26, The Leadenhall Building, 122 Leadenhall Street, London, EC3V 4AB. Both are Aegon companies. Scottish Equitable plc is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority. Cofunds Limited is authorised and regulated by the Financial Conduct Authority (FCA). Their FCA Financial Services Register numbers are 165548 and 194734 respectively. © 2021 Aegon UK plc