

General Investment Account (GIA) Transfer Application Form

Please complete this Application Form using black ink and **BLOCK CAPITALS** and return to: **Financial Discounts Direct, PO Box 85, Alton, Hampshire, GU34 1XS**

Please ensure that this form is used with the correct Transfer Authority. You will need to complete a transfer authority form for each GIA you are transferring.

1 Personal Details (Please complete this section in full)

GIA Product Number	8						
Mr/Mrs/Ms/Miss/Other							
Surname							
Full first name(s)							
Email address							
Daytime tel							

Permanent residential address
Postcode

If at current address for less than two years, please supply previous address and time there on a separate piece of paper.

Male ☐ Female ☐ Date of Birth / /

I confirm that I am solely UK resident for tax purposes and not a US citizen

If you do **NOT** have a National Insurance Number, please tick here

National Insurance Number _ _ _ / _ _ _ / _ _ _ / _ _ _ / _ _ _

1a Designations (optional)

Use this section to specify a unique reference for the account using a maximum of eight alphanumeric characters.
Please do not use a meaningful word.

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2 Joint Holders

Second Holder

Mr/Mrs/Ms/Miss/Other
Surname
Full first name(s)
Email address
Daytime tel

National Insurance Number / / / /

If at current address for less than two years, please supply previous address and time there on a separate piece of paper.

Permanent residential address
Postcode

Male ☐ Female ☐ Date of Birth / /

I confirm that I am solely UK resident for tax purposes and not a US citizen

If you do **NOT** have a National Insurance Number, please tick here

Third Holder

Mr/Mrs/Ms/Miss/Other
Surname
Full first name(s)
Email address
Daytime tel

National Insurance Number ____/____/____/____/____

If at current address for less than two years, please supply previous address and time there on a separate piece of paper.

Permanent residential address
Postcode

Male ☐ Female ☐ Date of Birth / /

I confirm that I am solely UK resident for tax purposes and not a US citizen

If you do **NOT** have a National Insurance Number, please tick here

3 Nominated bank account

Complete this section to set up a new nominated bank account. We'll use the details below to pay any future income/withdrawals to.

Name of account holder

Branch Sort Code

Bank/Building Society Account Number							

[illegible]

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4Income (only complete if you are re-registering income units)

The option you choose will be applied to all income units/shares you hold within this product.

☐ **Retain in fund (default)** - reinvest any income back into the fund

☐ **Consolidated monthly income** - pay any income to my bank account on a monthly basis

☐ **GIA Cash Facility** - pay any income into my GIA Cash Facility

If you already hold income units/shares within this product and you don't tick one of the boxes above, we'll apply your existing income option.

5Declaration and Authorisation

Declarations

All investment subscriptions made now and in the future belong to me. I am aged 18 years or over.

Where I do not have an existing Aegon GIA, I apply for an Aegon GIA and services outlined in the application, and agree to be bound by the Aegon Platform terms and conditions. I agree to the reregistration of investments to be applied to this new Aegon GIA. Where I do have an existing Aegon GIA, I agree to the re-registration of investments to be applied to my existing Aegon GIA listed in section 1 of this form.

I am applying for an Aegon GIA and services outlined in the application and agree to be bound by the Aegon Platform Terms and Conditions.
I am resident in the United Kingdom for tax purposes, or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to or in a civil partnership with a person who performs such duties. I will inform Aegon if I cease to be so resident or to perform such duties, or be married to or in a civil partnership with a person who performs such duties.

Where I have selected consolidated natural income in section 2 and are currently taking regular withdrawals from my existing Aegon GIA, I instruct Aegon to cancel the regular withdrawals.

I have or will provide details through self-certification of all countries in which I am resident for tax purposes. If I do not provide these details, I will be reportable to HM Revenue & Customs(HMRC) as undocumented. Where required under UK law, Aegon can share information about me and my Aegon GIA to HMRC, who will then share that information with tax authorities in the relevant countries and territories.

I declare that the information contained in this application form is correct to the best of my knowledge and belief.

Authorisations

I authorise Financial Discounts Direct to make GIA investments on my behalf in accordance with my written instructions.

I authorise Aegon to arrange any transfer of an existing GIA held with a different provider to my Aegon GIA as and when I request Aegon to do so. I authorise Aegon to obtain details from my existing GIA provider(s) and authorise the giving of any such details to Aegon.

I authorise Aegon to hold my cash subscription, GIA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.
I authorise Aegon to collect both the Aegon Platform Charge and the FDD Service Charge from my nominated Aegon cash facility.

I instruct Aegon to pay Financial Discounts Direct the Service Charge specified in the Charges Schedule, from my relevant Aegon payment account. If there is less money in my cash facility than the exact amount to cover charges, I authorise Aegon to sell enough of my platform assets to pay these charges in accordance with the 'Our Charges' section of the Terms and Conditions of the Aegon Platform and the FDD Charges Schedule.

I authorise Aegon and Financial Discounts Direct to undertake a search with a reference agency for the purposes of verifying my identity if necessary. To do so, the reference agency may check the details I supply against any particulars on any database (public or otherwise) to which they have access. They may also use my details in the future to assist other companies for verification purposes. A record of the search will be retained as an identity search.

If a Fund Sale Instruction applies to the segment linked to my investment, I accept and agree that funds will be sold to settle any outstanding charges which have accrued prior to this date and all charges payable hereafter.

If I have an active Sale for Regular Payment mandate, or the segment linked to my investment applies to a Fund Sale Instruction (as described in my Charges Schedule) and there is insufficient money within my relevant payment account, I authorise Aegon to sell enough of my platform assets to pay the Service Charge in accordance with the relevant Terms and Conditions of the Aegon Platform or Customer Agreement applicable to my investments/assets.

Confirmations

I have viewed the Key Features and Terms and Conditions of the Aegon Platform and by signing this application form I agree to be bound by them.
I have had the opportunity to read Aegon's Order Execution policy and agree to its terms.

I have viewed the relevant product Key Features and Fund specific information and/or Key Investor Information Documents (KIID) relating to my investment.
I understand that there are Charges (the Aegon Platform Charge and the FDD Service Charge) for the use of Aegon services. I confirm that I have received my Charges Schedule and understand which charges are applicable to my investment.

I understand that the Terms and Conditions of the Aegon Platform are the standard terms upon which Aegon intends to rely, and it is important that I read and understand the terms before agreeing to be bound by them. If there is any term or point I do not understand or do not wish to be bound by, I understand that I can request further information before signing this application. I agree to the Aegon GIA terms and conditions.

I understand that my signed application form (once accepted by Aegon) together with the Terms and Conditions of the Aegon Platform, form my customer agreement with Aegon.

I understand and accept that I am entitled to cancel my application in accordance with the Key Features applicable to the product I am investing in and that if I choose to cancel my application, my investment shall be subject to market movement during the period from the date when my application is processed to the date my investment is sold.

I understand that Aegon will accept authority from the primary holder only, in most circumstances. For trusts and Powers of Attorney more than one signature may be required.

I confirm that I have not received financial advice from Financial Discounts Director Aegon in regards to this application. I confirm that Financial Discounts Direct and Aegon have not and will not assess my suitability for the Aegon GIA, or any investment decisions made. This means I will not benefit from the protection of the Financial Conduct's Authority's rules on assessing suitability in relation to FDD or Aegon.

All holders must sign and date this application

Primary holder signature

X

Date

Capacity (if applicable)

Second holder signature

X

Date

Capacity (if applicable)

Third holder signature

X

Date

Capacity (if applicable)

Aegon General Investment Account (GIA) re-registration authority

In this form, Aegon means Cofunds Limited.
Use this form to re-register investments from another investment/plan manager into an Aegon General Investment Account (GIA).

Important

You must send this form along with a completed and signed Aegon GIA re-registration application form.
If you're re-registering funds from multiple investment/plan managers and/or with separate designations to your Aegon GIA, you'll need to send a re-registration authority for each investment.
All details must match those held by the existing investment/plan manager. Any differences could cause delays to the requested transfer by re-registration.
Please complete this form in BLOCK CAPITALS and ballpoint pen and return it to: Aegon Cofunds Administration, PO Box 17491, Edinburgh, EH12 1PB

1. Details of investments to be re-registered

Please list all investments that you hold with the investment/plan manager that you want to re-register to Aegon, including the Sedol codes. Please ensure the investments you choose to re-register are available through Aegon. Please note we're unable to accept the re-registration of any investments which pay a commission to your intermediary.

Account reference with the existing investment/plan manager

Full investment manager name and investment name	Sedol code (this is shown in your Key Investor Information Documents (KIID))

If you've chosen income units/shares, please make sure you complete the income section of the Aegon GIA re-registration application form to indicate how the income should be applied.

2. Designation

Please enter, if applicable, the unique identifier (designation) that's applied to the investments you want to re-register held by the existing investment/plan manager. It's normally a combination of alpha/numeric characters and is common when you hold multiple GIAs with an investment/plan manager.

3. Authorisation

Aegon GIA number (if applicable)

8

Name of existing investment/plan manager

Existing Investment/plan manager address

Postcode

I/We authorise the investment/plan manager named on this authority to re-register the investments detailed in section 1 to Lochside Nominees Ltd with immediate effect. Please forward confirmation to Aegon Cofunds Administration, PO Box 17491, Edinburgh, EH12 1PB.

I/We authorise Aegon to submit all information contained in this re-registration authority to the Registrar of the investment/plan manager named above and request that the register be updated as necessary to give effect to this transfer by re-registration. I confirm that the re-registration of the investments listed will not change the beneficial ownership from (or among) the current holder(s).

You should enter your role such as partner, shareholder etc, if applicable, in the capacity field if you are a joint holder of the investment.

Capacity (if applicable)

Primary account holder

Date

D

D

M

M

2

0

Y

Y

Primary account holder signature

X

Capacity (if applicable)

Second account holder

Date

D

D

M

M

2

0

Y

Y

Second holder signature

X

3. Authorisation – continued

Capacity (if applicable)

Third account holder

Date

D

D

M

M

2

0

Y

Y

Third holder signature

X

Capacity (if applicable)

Fourth account holder

Date

D

D

M

M

2

0

Y

Y

Fourth holder signature

X

